

Approximation by linear combinations of translates of a single function

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Abstract

We study approximation of periodic functions by arbitrary linear combinations of n translates of a single function. We construct some linear methods of this approximation for univariate functions in the class induced by the convolution with a single function, and prove upper bounds of the L^p -approximation convergence rate by these methods, when $n \rightarrow \infty$, for $1 \leq p \leq \infty$. We also generalize these results to classes of multivariate functions defined as the convolution with the tensor product of a single function. In the case $p = 2$, for this class, we also prove a lower bound of the quantity characterizing best approximation of by arbitrary linear combinations of n translates of arbitrary function.

Keywords: Function spaces induced by the convolution with a given function ; Approximation by arbitrary linear combinations of n translates of a single function.

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1 Introduction

The present paper continues investigating the problem of function approximation by arbitrary linear combinations of n translates of a single function which has been studied in [1, 3]. In the last papers, some linear methods were constructed for approximation of periodic functions in a class induced by the convolution with a given function, and prove upper bounds of the L^p -approximation convergence rate by these methods, when $n \rightarrow \infty$, for the case $1 < p < \infty$. The main technique of the proofs of the results is based on Fourier analysis, in particular, the multiplier theory. However, this technique cannot be extended to the two important cases $p = 1$ and $p = \infty$. In the present paper, we aim at this approximation problem for the cases $p = 1$ and $p = \infty$ by using a different technique. For convenience of presentation we will do this for $1 \leq p \leq \infty$.

We shall begin our discussion here by introducing notation used throughout the paper. In this regard, we merely follow closely the presentation in [1, 3]. The d -dimensional torus denoted by \mathbb{T}^d is

the cross product of d copies of the interval $[0, 2\pi]$ with the identification of the end points. When $d = 1$, we merely denote the d -torus by \mathbb{T} . Functions on \mathbb{T}^d are identified with functions on \mathbb{R}^d which are 2π periodic in each variable. Denote by $L^p(\mathbb{T}^d)$, $1 \leq p \leq \infty$, the space of integrable functions on \mathbb{T}^d equipped with the norm

$$\|f\|_p := \begin{cases} (2\pi)^{-d/p} \left(\int_{\mathbb{T}^d} |f(\mathbf{x})|^p d\mathbf{x} \right)^{1/p}, & 1 \leq p < \infty, \\ \text{ess sup}_{\mathbf{x} \in \mathbb{T}^d} |f(\mathbf{x})|, & p = \infty. \end{cases}$$

We will consider only real valued functions on \mathbb{T}^d . However, all the results in this paper are true for the complex setting. Also, we will use Fourier series of a real valued function in complex form.

Here, we use the notation \mathbb{N}_m for the set $\{1, 2, \dots, m\}$. For vectors $\mathbf{x} := (x_l : l \in \mathbb{N}_d)$ and $\mathbf{y} := (y_l : l \in \mathbb{N}_d)$ in \mathbb{T}^d we use $(\mathbf{x}, \mathbf{y}) := \sum_{l \in \mathbb{N}_d} x_l y_l$ for the inner product of \mathbf{x} with \mathbf{y} . Also, for notational convenience we allow \mathbb{N}_0 and \mathbb{Z}_0 to stand for the empty set. Given any integrable function f on \mathbb{T}^d and any lattice vector $\mathbf{j} = (j_l : l \in \mathbb{N}_d) \in \mathbb{Z}^d$, we let $\widehat{f}(\mathbf{j})$ denote the \mathbf{j} -th Fourier coefficient of f defined by the equation

$$\widehat{f}(\mathbf{j}) := (2\pi)^{-d} \int_{\mathbb{T}^d} f(\mathbf{x}) e^{-i(\mathbf{j}, \mathbf{x})} d\mathbf{x}.$$

Frequently, we use the superscript notation \mathbb{B}^d to denote the cross product of d copies of a given set \mathbb{B} in \mathbb{R}^d .

Let $S'(\mathbb{T}^d)$ be the space of distributions on \mathbb{T}^d . Every $f \in S'(\mathbb{T}^d)$ can be identified with the formal Fourier series

$$f = \sum_{\mathbf{j} \in \mathbb{Z}^d} \widehat{f}(\mathbf{j}) e^{i(\mathbf{j}, \cdot)},$$

where the sequence $(\widehat{f}(\mathbf{j}) : \mathbf{j} \in \mathbb{Z}^d)$ forms a tempered sequence.

Let $\lambda : \mathbb{R} \rightarrow \mathbb{R} \setminus \{0\}$ be a bounded function. With the univariate λ we associate the multivariate tensor product function λ_d given by

$$\lambda_d(\mathbf{x}) := \prod_{l=1}^d \lambda(x_l), \quad \mathbf{x} = (x_l : l \in \mathbb{N}_d),$$

and introduce the function $\varphi_{\lambda, d}$, defined on \mathbb{T}^d by the equation

$$\varphi_{\lambda, d}(\mathbf{x}) := \sum_{\mathbf{j} \in \mathbb{Z}^d} \lambda_d(\mathbf{j}) e^{i(\mathbf{j}, \mathbf{x})}. \tag{1.1}$$

Moreover, in the case that $d = 1$ we merely write φ_λ for the univariate function $\varphi_{\lambda, 1}$. We introduce a subspace of $L^p(\mathbb{T}^d)$ defined as

$$\mathcal{H}_{\lambda, p}(\mathbb{T}^d) := \left\{ f : f = \varphi_{\lambda, d} * g, g \in L^p(\mathbb{T}^d) \right\},$$

with norm

$$\|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^d)} := \|g\|_p,$$

where $f_1 * f_2$ is the convolution of two functions f_1 and f_2 on \mathbb{T}^d .

As in [1, 3], we are concerned with the following concept. Let \mathbb{W} be a prescribed subset of $L^p(\mathbb{T}^d)$ and $\psi \in L^p(\mathbb{T}^d)$ be a given function. We are interested in the approximation in $L^p(\mathbb{T}^d)$ -norm of all functions $f \in \mathbb{W}$ by arbitrary linear combinations of n translates of the function ψ , that is, by the functions in the set $\{\psi(\cdot - \mathbf{y}_l) : \mathbf{y}_l \in \mathbb{T}^d, l \in \mathbb{N}_n\}$ and measure the error in terms of the quantity

$$M_n(\mathbb{W}, \psi)_p := \sup_{f \in \mathbb{W}} \inf \left\{ \left\| f - \sum_{l \in \mathbb{N}_n} c_l \psi(\cdot - \mathbf{y}_l) \right\|_p : c_l \in \mathbb{R}, \mathbf{y}_l \in \mathbb{T}^d \right\}.$$

The aim of the present paper is to investigate the convergence rate, when $n \rightarrow \infty$, of $M_n(U_{\lambda,p}(\mathbb{T}^d), \psi)_p$ for $1 \leq p \leq \infty$, where

$$U_{\lambda,p}(\mathbb{T}^d) := \left\{ f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d) : \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)} \leq 1 \right\}$$

is the unit ball in $\mathcal{H}_{\lambda,p}(\mathbb{T}^d)$. We shall also obtain a lower bound for the convergence rate as $n \rightarrow \infty$ of the quantity

$$M_n(U_{\lambda,2}(\mathbb{T}^d))_2 := \inf \left\{ M_n(U_{\lambda,2}(\mathbb{T}^d), \psi)_2 : \psi \in L^2(\mathbb{T}^d) \right\},$$

which gives information about the best choice of ψ .

This paper is organized in the following manner. In Section 2, we give the necessary background from Fourier analysis and construct a method for approximation of functions in the univariate case. In Section 3, we extend the method of approximation developed in Section 2 to the multivariate case, in particular, prove upper bounds for the approximation error and convergence rate, we also prove a lower bound of $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$.

2 Univariate approximation

In this section, we construct a linear method in the form of a linear combination of translates of a function φ_β defined as in (1.1) for approximation of univariate functions in $\mathcal{H}_{\lambda,p}(\mathbb{T})$. We give upper bounds of the approximation error for various λ and β .

Let $\lambda, \beta, \vartheta : \mathbb{R} \rightarrow \mathbb{R}$ be given 2-times continuously differentiable functions and ϑ be such that

$$\vartheta(x) := \begin{cases} 1, & \text{if } x \in [-\frac{1}{2}, \frac{1}{2}], \\ 0, & \text{if } x \notin (-1, 1). \end{cases}$$

Corresponding to these functions we define the functions \mathcal{G} and H_m as

$$\mathcal{G}(x) := \frac{\lambda(x)}{\beta(x)}, \quad H_m(x) := \sum_{k \in \mathbb{Z}} \vartheta(k/m) \mathcal{G}(k) e^{ikx}. \quad (2.2)$$

For a function $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ represented as $f = \varphi_\lambda * g$, $g \in L^p(\mathbb{T})$, we define the operator

$$Q_{m,\beta}(f) := \frac{1}{2m+1} \sum_{k=0}^{2m} V_m(g) \left(\frac{k}{2m+1} \right) \varphi_\beta \left(\cdot - \frac{k}{2m+1} \right), \quad (2.3)$$

where $V_m(g) := H_m * g$. Finally, we define for a function $h : \mathbb{R} \rightarrow \mathbb{R}$,

$$\sigma_m(h; f)(x) := \sum_{k \in \mathbb{Z}} h(k/m) \widehat{f}_k e^{ikx}.$$

Let us obtain upper estimates for the error of approximating a function $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ by the trigonometric polynomial $Q_{m,\beta}(f)$ a linear combination of $2m + 1$ translates of the function φ_β .

Definition 2.1 A 2-times continuously differentiable function $\psi : \mathbb{R} \rightarrow \mathbb{R}$ is called a function of monotone type if there exists a positive constant c_0 such that

$$|\psi(x)| \geq c_0|\psi(y)|, \quad |\psi''(x)| \geq c_0|\psi''(y)| \quad \text{for all } 2|y| \geq |x| \geq |y|/2.$$

We put

$$\varepsilon_m := J_m(\lambda) + \sup_{|x| \in [-m,m]} \left(|\mathcal{G}(x)| + m^2 \sup_{|x| \in [-m,m]} |\mathcal{G}''(x)| \right) J_m(\beta),$$

where for a 2-times continuously differentiable function ψ ,

$$J_m(\psi) := \int_{|x| \geq m} \left(\left| \frac{\psi(x)}{m} \right| + |x\psi''(x)| \right) dx.$$

Theorem 2.2 Let $1 \leq p \leq \infty$. Assume that the functions λ, β are of monotone type. Then there exists a positive constant c such that for all $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ and $m \in \mathbb{N}$,

$$\|f - Q_{m,\beta}(f)\|_p \leq c\varepsilon_m \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T})}.$$

Before we give the proof of the above theorem, we recall a lemma proved in [6], [7].

Lemma 2.3 Let $1 \leq p \leq \infty$, $f \in L^p(\mathbb{T})$ and $h : \mathbb{R} \rightarrow \mathbb{R}$ be 2-times continuously differentiable function, supported on $[-1, 1]$. Then there exists a constant c_1 independent of f, h, m such that

$$\|\sigma_m(h; f)\|_p \leq c_1 \|h''\|_\infty \|f\|_p.$$

We also need a Landau's inequality for derivatives [4].

Lemma 2.4 Let $f \in L^\infty(\mathbb{R})$ be 2-times continuously differentiable function. Then

$$\|f'\|_\infty^2 \leq 4\|f\|_\infty \|f''\|_\infty.$$

In particular,

$$\|f'\|_\infty \leq \|f\|_\infty + \|f''\|_\infty.$$

Proof. (Proof of Theorem 2.2) Let $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ be represented as $\varphi_{\lambda,d} * g$ for some $g \in L^p(\mathbb{T})$. We define the kernel $P_m(x, t)$ for $x, t \in \mathbb{T}$ as

$$P_m(x, t) := \frac{1}{2m+1} \sum_{k=0}^{2m} \varphi_\beta \left(x - \frac{k}{2m+1} \right) H_m \left(\frac{k}{2m+1} - t \right).$$

It is easy to obtain from the definition (2.3) that

$$Q_{m,\beta}(f)(x) = \frac{1}{2\pi} \int_{\mathbb{T}} P_m(x, t) g(t) dt.$$

We now use equation (1.1), the definition of the trigonometric polynomial H_m given in equation (2.2) and the easily verified fact, for $k, s \in \mathbb{Z}, s \in [-m, m]$, that

$$\frac{1}{2m+1} \sum_{\ell=0}^{2m} e^{ik(t-(\ell/2m+1))} e^{is((\ell/2m+1)-t)} = \begin{cases} 0, & \text{if } \frac{k-s}{2m+1} \notin \mathbb{Z}, \\ e^{i(k-k_m)t}, & \text{if } \frac{k-s}{2m+1} \in \mathbb{Z}, \end{cases}$$

to conclude that

$$P_m(x, t) = \sum_{k \in \mathbb{Z}} \gamma(k) e^{ikx} e^{-ik_m t},$$

where $\gamma(k) = \vartheta(k_m/m) \mathcal{G}(k_m) \beta(k)$ and $k_m \in [-m, m]$ satisfy $(k - k_m)/(2m + 1) \in \mathbb{Z}$. Hence,

$$\begin{aligned} Q_{m,\beta}(f)(x) &= \sum_{k > m} \gamma(k) e^{ikx} \widehat{g}(k_m) + \sum_{k < -m} \gamma(k) e^{ikx} \widehat{g}(k_m) + \sum_{k=-m}^m \gamma(k) e^{ikx} \widehat{g}(k_m) \\ &=: \mathcal{A}_m(x) + \mathcal{B}_m(x) + \mathcal{C}_m(x). \end{aligned}$$

Consequently,

$$\|f - Q_{m,\beta}(f)\|_p \leq \|\mathcal{A}_m\|_p + \|\mathcal{B}_m\|_p + \|f - \mathcal{C}_m\|_p. \quad (2.4)$$

For each $j \in \mathbb{N}$, we define the functions $\Lambda_{j,m}(x), \mathcal{J}_m(x), \mathcal{K}_{j,m}(x), \mathcal{D}_{j,m}(x)$ and the set $I_{j,m}$ as follows

$$\begin{aligned} \Lambda_{j,m}(x) &:= \beta(mx + j(2m + 1)), & \mathcal{J}_m(x) &:= \mathcal{G}(mx), \\ \mathcal{K}_{j,m}(x) &:= \Lambda_{j,m}(x) \vartheta(x) \mathcal{J}_m(x), & \mathcal{D}_{j,m}(x) &:= \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m), \\ I_{j,m} &:= \{k \in \mathbb{Z} : (2m + 1)j - m \leq k \leq (2m + 1)j + m\}. \end{aligned}$$

Then we have

$$\mathcal{A}_m(x) = \sum_{j \in \mathbb{N}} \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) = \sum_{j \in \mathbb{N}} \mathcal{D}_{j,m}(x), \quad (2.5)$$

and for all $k \in I_{j,m}$,

$$\begin{aligned} \gamma(k) &= \beta(k) \vartheta(k_m/m) \mathcal{G}(k_m) = \beta(j(2m + 1) + k_m) \vartheta(k_m/m) \mathcal{G}(k_m) \\ &= \Lambda_{j,m}(k_m/m) \vartheta(k_m/m) \mathcal{G}(k_m) = \Lambda_{j,m}(k_m/m) \vartheta(k_m/m) \mathcal{J}_m(k_m/m) = \mathcal{K}_{j,m}(k_m/m). \end{aligned}$$

Hence,

$$\begin{aligned} \mathcal{D}_{j,m}(x) &= \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) = \sum_{k_m \in [-m, m]} \mathcal{K}_{j,m}(k_m/m) e^{i(j(2m+1)+k_m)x} \widehat{g}(k_m) \\ &= e^{ij(2m+1)x} \sum_{k_m \in [-m, m]} \mathcal{K}_{j,m}(k_m/m) e^{ik_m x} \widehat{g}(k_m) = e^{ij(2m+1)x} \sigma_m(\mathcal{K}_{j,m}; g). \end{aligned}$$

Therefore, by Lemma 2.3, there exists a constant c_1 such that

$$\|\mathcal{D}_{j,m}\|_p \leq c_1 \|(\mathcal{K}_{j,m})''\|_\infty \|g\|_p.$$

Then it follows from (2.5) that

$$\|\mathcal{A}_m\|_p \leq \sum_{j \in \mathbb{N}} \|\mathcal{D}_{j,m}\|_p \leq c_1 \sum_{j \in \mathbb{N}} \|(\mathcal{K}_{j,m})''\|_\infty \|g\|_p. \quad (2.6)$$

From the definition of $\mathcal{K}_{j,m}$, $\text{supp } \vartheta \subset [-1, 1]$, and $\|\vartheta\|_\infty \leq 2\|\vartheta'\|_\infty \leq 4\|\vartheta''\|_\infty$, we deduce that

$$\begin{aligned} \|(\mathcal{K}_{j,m})''\|_\infty &\leq 4\|\vartheta''\|_\infty \sup_{x \in [-1, 1]} \left(|\Lambda_{j,m}(x)\mathcal{J}_m(x)| + |(\Lambda_{j,m}\mathcal{J}_m)'(x)| + |(\Lambda_{j,m}\mathcal{J}_m)''(x)| \right) \\ &\leq 4\|\vartheta''\|_\infty \left[\sup_{x \in I_{j,m}} \left(|\beta(x)| + m|\beta'(x)| + m^2|\beta''(x)| \right) \sup_{x \in [-m, m]} |\mathcal{G}(x)| \right. \\ &\quad \left. + m \sup_{x \in I_{j,m}} \left(|\beta(x)| + m|\beta'(x)| \right) \sup_{x \in [-m, m]} |\mathcal{G}'(x)| + m^2 \sup_{x \in I_{j,m}} |\beta(x)| \sup_{x \in [-m, m]} |\mathcal{G}''(x)| \right]. \end{aligned}$$

Hence,

$$\|(\mathcal{K}_{j,m})''\|_\infty \leq 4\|\vartheta''\|_\infty \sup_{x \in I_{j,m}} \left(|\beta(x)| + m|\beta'(x)| + m^2|\beta''(x)| \right) \sup_{x \in [-m, m]} \left(|\mathcal{G}(x)| + m|\mathcal{G}'(x)| + m^2|\mathcal{G}''(x)| \right)$$

for all $j \in \mathbb{N}$. Therefore, it follows from (2.6) that

$$\begin{aligned} \|\mathcal{A}_m\|_p &\leq 4c_1\|\vartheta''\|_\infty \sum_{j \in \mathbb{N}} \sup_{x \in I_{j,m}} \left(|\beta(x)| + m|\beta'(x)| + m^2|\beta''(x)| \right) \times \\ &\quad \times \sup_{x \in [-m, m]} \left(|\mathcal{G}(x)| + m|\mathcal{G}'(x)| + m^2|\mathcal{G}''(x)| \right) \|g\|_p. \end{aligned}$$

So, by Lemma 2.4, we have

$$\|\mathcal{A}_m\|_p \leq 16c_1\|\vartheta''\|_\infty \sum_{j \in \mathbb{N}} \sup_{x \in I_{j,m}} \left(|\beta(x)| + m^2|\beta''(x)| \right) \sup_{x \in [-m, m]} \left(|\mathcal{G}(x)| + m^2|\mathcal{G}''(x)| \right) \|g\|_p. \quad (2.7)$$

Since the function α, β is of monotone type, there exists a constant c_0 such that

$$|\alpha(x)| \geq c_0|\alpha(y)|, |\alpha''(x)| \geq c_0|\alpha''(y)|, |\beta(x)| \geq c_0|\beta(y)|, |\beta''(x)| \geq c_0|\beta''(y)| \quad (2.8)$$

for all $4|y| \geq |x| \geq |y|/4$. Hence,

$$\begin{aligned} \sup_{|x| \in I_{j,m}} |\beta(x)| &\leq \frac{c_0}{m} \int_{|x| \in I_{j,m}} |\beta(x)| dx, \\ \sup_{|x| \in I_{j,m}} |m^2\beta''(x)| &\leq c_0 m \int_{|x| \in I_{j,m}} |\beta''(x)| dx. \end{aligned}$$

So,

$$\sum_{j \in \mathbb{N}} \sup_{|x| \in I_{j,m}} \left(|\beta(x)| + |m^2\beta''(x)| \right) \leq c_0 \int_{|x| \geq m} \left(\frac{|\beta(x)|}{m} + |m\beta''(x)| \right) dx \leq c_0 J_m(\beta).$$

Combining this with (2.7), we obtain that

$$\|\mathcal{A}_m\|_p \leq 16c_0c_1\|\vartheta''\|_\infty\varepsilon_m\|g\|_p. \quad (2.9)$$

Similarly,

$$\|\mathcal{B}_m\|_p \leq 16c_0c_1\|\vartheta''\|_\infty\varepsilon_m\|g\|_p. \quad (2.10)$$

Next, we will estimate $\|f - \mathcal{C}_m\|_p$. Notice that $\gamma(k) = \vartheta(k/m)\mathcal{G}(k)\beta(k) = \vartheta(k/m)\lambda(k)$ for $k \in [-m, m]$, and then

$$\sigma_m(\vartheta; f)(x) = \sum_{k \in \mathbb{Z}} \vartheta(k/m)\widehat{f}(k)e^{ikx} = \sum_{k=-m}^m \vartheta(k/m)\lambda(k)\widehat{g}(k)e^{ikx} = \sum_{k=-m}^m \gamma(k)\widehat{g}(k)e^{ikx} = \mathcal{C}_m(x),$$

and therefore,

$$\|f - \mathcal{C}_m\|_p = \|f - \sigma_m(\vartheta; f)\|_p. \quad (2.11)$$

We define the functions $S(x)$, $\Phi_{j,m}(x)$ and $\Psi_{j,m}(x)$ as

$$S(x) := \vartheta(x) - \vartheta(x/2), \quad \Phi_{j,m}(x) := \lambda(2^j m x), \quad \Psi_{j,m}(x) := S(x)\Phi_{j,m}(x).$$

Clearly, we have that

$$(\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^j m)))\lambda(k) = S(k/(2^j m))\Phi_{j,m}(k/(2^j m)) = \Psi_{j,m}(k/(2^j m)),$$

which together with

$$\begin{aligned} \sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^j m}(\vartheta; f) &= \sum_{k \in \mathbb{Z}} (\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^j m)))\widehat{f}(k)e^{ikx} \\ &= \sum_{k \in \mathbb{Z}} (\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^j m)))\lambda(k)\widehat{g}(k)e^{ikx} \end{aligned}$$

implies that

$$\sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^j m}(\vartheta; f) = \sum_{k \in \mathbb{Z}} \Psi_{j,m}(k/(2^j m))\widehat{g}(k)e^{ikx} = \sigma_{2^j m}(\Psi_{j,m}; g).$$

Then by Lemma 2.3, we obtain

$$\|\sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^j m}(\vartheta; f)\|_p \leq c_1\|\Psi_{j,m}''\|_\infty\|g\|_p. \quad (2.12)$$

Moreover, from the definition of $\Psi_{j,m}$, $\text{supp} S \subset [-2, -1/2] \cup [1/2, 2]$, and $\|S\|_\infty \leq 2\|S'\|_\infty \leq 4\|S''\|_\infty \leq 8\|\vartheta''\|_\infty$, we have that

$$\begin{aligned} |\Psi_{j,m}''(x)| &= |S''(x)\Phi_{j,m}(x) + 2S'(x)\Phi'_{j,m}(x) + S(x)\Phi''_{j,m}(x)| \\ &\leq 8\|\vartheta''\|_\infty \sup_{|x| \in [1/2, 2]} (|\Phi_{j,m}(x)| + |\Phi'_{j,m}(x)| + |\Phi''_{j,m}(x)|) \\ &\leq 16\|\vartheta''\|_\infty \sup_{|x| \in [1/2, 2]} (|\Phi_{j,m}(x)| + |\Phi''_{j,m}(x)|) \\ &= 16\|\vartheta''\|_\infty \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} (|\lambda(x)| + (2^j m)^2 |\lambda''(x)|) \\ &\leq 64\|\vartheta''\|_\infty \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} (|\lambda(x)| + |x^2 \lambda''(x)|). \end{aligned}$$

Combining this and (2.12), we deduce

$$\|\sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^j m}(\vartheta; f)\|_p \leq 64c_1 \|\vartheta''\|_\infty \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^2 \lambda''(x)| \right) \|g\|_p.$$

Therefore, by (2.11) and $\lim_{m \rightarrow \infty} \|f - \sigma_{2^j m}(\vartheta; f)\|_p = 0$, we have that

$$\begin{aligned} \|f - \mathcal{C}_m\|_p &\leq \sum_{j=0}^{\infty} \|\sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^j m}(\vartheta; f)\|_p \\ &\leq 64c_1 \|\vartheta''\|_\infty \sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^2 \lambda''(x)| \right) \|g\|_p. \end{aligned} \quad (2.13)$$

Since (2.8),

$$\sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} |\lambda(x)| \leq \frac{c_0}{2^j m} \int_{|x| \in [2^j m, 2^{j+1}m]} |\lambda(x)| dx \leq \frac{c_0}{m} \int_{|x| \in [2^j m, 2^{j+1}m]} |\lambda(x)| dx,$$

and

$$\sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} |x^2 \lambda''(x)| \leq 2c_0 \int_{|x| \in [2^j m, 2^{j+1}m]} |x \lambda''(x)| dx.$$

So,

$$\sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^2 \lambda''(x)| \right) \leq 2c_0 \int_{|x| \geq m} \left(\frac{|\lambda(x)|}{m} + |x \lambda''(x)| \right) dx = 2c_0 J_m(\lambda).$$

Hence, by (2.13), we deduce

$$\|f - \mathcal{C}_m\|_p \leq 128c_0 c_1 \|\vartheta''\|_\infty \varepsilon_m \|g\|_p. \quad (2.14)$$

Combining (2.9), (2.10) and (2.14) we have

$$\|f - Q_{m,\beta}(f)\|_p \leq c \varepsilon_m \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T})}.$$

□

From the above theorem, by letting $\lambda = \beta$, we obtain the following corollary.

Corollary 2.5 *Let $1 \leq p \leq \infty$ and λ be of monotone type. Then there exists a positive constant c such that for all $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ and $m \in \mathbb{N}$,*

$$\|f - Q_{m,\lambda}(f)\|_p \leq c J_m(\lambda) \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T})}.$$

Definition 2.6 *Let $r, \kappa \in \mathbb{R}$. A function $f : \mathbb{R} \rightarrow \mathbb{R}$ will be called a mask of type (r, κ) if f is an even, 2 times continuously differentiable such that for $t \geq 1$, $f(t) = |t|^{-r} (\log(|t| + 1))^{-\kappa} F(\log |t|)$ for some $F : \mathbb{R} \rightarrow \mathbb{R}$ such that $|F^{(k)}(t)| \leq a_1$ for all $t \geq 1, k = 0, 1, 2$.*

Theorem 2.7 *Let $1 \leq p \leq \infty, 1 < r < \infty, \kappa \in \mathbb{R}$ and the function λ be a mask of type (r, κ) . Then there exists a positive constant c such that for all $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ and $m \in \mathbb{N}$,*

$$\|f - Q_{m,\lambda}(f)\|_p \leq c m^{-r} (\log m)^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T})}.$$

Proof. Since the function λ be a mask of type (r, κ) and $r > 1$,

$$\int_{|x| \geq m} \left| \frac{\lambda(x)}{m} \right| dx \leq a_1 \int_{|x| \geq m} \frac{|x|^{-r} (\log(|x| + 1))^{-\kappa}}{m} dx \leq a_2 m^{-r} (\log(m + 1))^{-\kappa} \quad \forall m \in \mathbb{N}. \quad (2.15)$$

On the other hand,

$$\begin{aligned} \int_{|x| \geq m} |x \lambda''(x)| dx &\leq \int_{|x| \geq m} |x| \left((|x|^{-r} (\log(|x| + 1))^{-\kappa})'' |F(\log |x|)| \right. \\ &\quad \left. + 2(|x|^{-r} (\log(|x| + 1))^{-\kappa})' |F'(\log |x|)|/|x| + (|x|^{-r} (\log(|x| + 1))^{-\kappa}) |F''(\log |x|) - F'(\log |x|)/x^2 \right) dx \\ &\leq a_1 \int_{|x| \geq m} |x| \left((|x|^{-r} (\log(|x| + 1))^{-\kappa})'' + 2(|x|^{-r} (\log(|x| + 1))^{-\kappa})'/|x| + 2(|x|^{-r} (\log(|x| + 1))^{-\kappa})/x^2 \right) dx \\ &\leq a_3 m^{-r} (\log(m + 1))^{-\kappa}. \end{aligned}$$

Hence, by (2.15), we deduce

$$J_m(\lambda) \leq a_4 m^{-r} (\log(m + 1))^{-\kappa}.$$

From this and Corollary 2.5, we complete the proof. \square

Corollary 2.8 *For $1 \leq p \leq \infty$, $1 < r < \infty$ and $\lambda(x) = \beta(x) = x^{-r}$ for $x \neq 0$, $\mathcal{H}_{\lambda,p}(\mathbb{T})$ becomes the Korobov space $K_p^r(\mathbb{T})$. Then we have the estimate as in [1]:*

$$M_n(U_{\lambda,p}(\mathbb{T}), \kappa_r)_p \leq c m^{-r}$$

where κ_r is the Korobov function.

Definition 2.9 *A function $f : \mathbb{R} \rightarrow \mathbb{R}$ is called a function of exponent type if f is 2 times continuously differentiable and there exists a positive constant s such that $f(t) = e^{-s|t|} F(|t|)$ for some decreasing function $F : [0, +\infty) \rightarrow (0, +\infty)$.*

Theorem 2.10 *Let $1 \leq p \leq \infty$, $1 < r < \infty$, $\kappa \in \mathbb{Z}$, the function λ be a mash of type (r, κ) , the function β of exponent type. Then there exists a positive constant c such that for all $f \in \mathcal{H}_{\lambda,p}(\mathbb{T})$ and $m \in \mathbb{N}$, we have*

$$\|f - Q_{m,\beta}(f)\|_p \leq c m^{-r} (\log(m + 1))^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T})}.$$

Proof. We will use the notation in the proof of Theorem 2.2. For $k \in I_{j,m}$ we have $k_m = k - j(2m + 1)$ and then

$$\begin{aligned} |\gamma(k)| &= \left| \beta(k_m + j(2m + 1)) \vartheta(k_m/m) \frac{\lambda(k_m)}{\beta(k_m)} \right| \\ &= e^{-sj(2m+1)} \frac{|\lambda(k_m) F(k_m + j(2m + 1))|}{|F(k_m)|} \leq b_1 e^{-sj(2m+1)}. \end{aligned}$$

Hence,

$$\left\| \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) \right\|_p \leq 3b_1 m e^{-sj(2m+1)} \|g\|_p.$$

This implies that

$$\begin{aligned}\|\mathcal{A}_m\|_p &= \left\| \sum_{j \in \mathbb{N}} \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) \right\|_p \\ &\leq 3b_1 \sum_{j \in \mathbb{N}} m e^{-sj(2m+1)} \|g\|_p \leq b_2 m^{-r} (\log(m+1))^{-\kappa} \|g\|_p.\end{aligned}\tag{2.16}$$

Similarly,

$$\|\mathcal{B}_m\|_p \leq b_2 m^{-r} (\log(m+1))^{-\kappa} \|g\|_p.\tag{2.17}$$

We also know that in the proof of Theorem 2.2 that

$$\|f - \mathcal{C}_m\|_p \leq b_3 \sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^2 \lambda''(x)| \right) \|g\|_p.\tag{2.18}$$

We see that

$$\begin{aligned}\sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} |\lambda(x)| &\leq b_4 \int_{|x| \in [2^j m, 2^{j+1}m]} \frac{|\lambda(x)|}{|x|} dx \\ \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} |x^2 \lambda''(x)| &\leq b_4 \int_{|x| \in [2^j m, 2^{j+1}m]} |x \lambda''(x)| dx.\end{aligned}$$

So,

$$\sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^2 \lambda''(x)| \right) \leq b_4 \int_{|x| \geq m} \left(\frac{|\lambda(x)|}{|x|} + |x \lambda''(x)| \right) dx.$$

Hence, by (2.18), we deduce that

$$\|f - \mathcal{C}_m\|_p \leq b_3 b_4 \|g\|_p \int_{|x| \geq m} \left(\frac{|\lambda(x)|}{|x|} + |x \lambda''(x)| \right) dx \leq b_5 m^{-r} (\log(m+1))^{-\kappa} \|g\|_p.$$

Combining this, (2.16), (2.17) and (2.4), we complete the proof. \square

3 Multivariate approximation

In this section, we make use of the univariate operators $Q_{m,\lambda}$ to construct multivariate operators on sparse Smolyak grids for approximation of functions from $\mathcal{H}_{\lambda,p}(\mathbb{T}^d)$. Based on this approximation with certain restriction on the function λ we prove an upper bound of $M_n(U_{\lambda,p}(\mathbb{T}^d), \varphi_{\lambda,d})_p$ for $1 \leq p \leq \infty$ as well as a lower bound of $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$. The results obtained in this section generalize some results in [1, 2].

3.1 Error estimates for functions in the space $\mathcal{H}_{\lambda,p}(\mathbb{T}^d)$

For $\mathbf{m} \in \mathbb{N}^d$, let the multivariate operator $Q_{\mathbf{m}}$ in $\mathcal{H}_{\lambda,p}(\mathbb{T}^d)$ be defined by

$$Q_{\mathbf{m}} := \prod_{j=1}^d Q_{m_j, \lambda},\tag{3.19}$$

where the univariate operator $Q_{m_j, \lambda}$ is applied to the univariate function f by considering f as a function of variable x_j with the other variables held fixed, $\mathbb{Z}_+^d := \{\mathbf{k} \in \mathbb{Z}^d : k_j \geq 0, j \in \mathbb{N}_d\}$ and k_j denotes the j th coordinate of \mathbf{k} .

Set $\mathbb{Z}_{-1}^d := \{\mathbf{k} \in \mathbb{Z}^d : k_j \geq -1, j \in \mathbb{N}_d\}$. For $k \in \mathbb{Z}_{-1}$, we define the univariate operator T_k in $\mathcal{H}_{\lambda, p}(\mathbb{T})$ by

$$T_k := \mathbf{I} - Q_{2^k, \lambda}, \quad k \geq 0, \quad T_{-1} := \mathbf{I},$$

where \mathbf{I} is the identity operator. If $\mathbf{k} \in \mathbb{Z}_{-1}^d$, we define the mixed operator $T_{\mathbf{k}}$ in $\mathcal{H}_{\lambda, p}(\mathbb{T}^d)$ in the manner of the definition of (3.19) as

$$T_{\mathbf{k}} := \prod_{i=1}^d T_{k_i}.$$

Set $|\mathbf{k}| := \sum_{j \in \mathbb{N}_d} |k_j|$ for $\mathbf{k} \in \mathbb{Z}_{-1}^d$ and $\mathbf{k}_{(2)}^{-\kappa} = \prod_{j=1}^d (k_j + 2)^{-\kappa}$.

Lemma 3.1 *Let $1 \leq p \leq \infty$, $1 < r < \infty$, $0 \leq \kappa < \infty$ and the function λ be a mask of type (r, κ) . Then we have for any $f \in \mathcal{H}_{\lambda, p}(\mathbb{T}^d)$ and $\mathbf{k} \in \mathbb{Z}_{-1}^d$,*

$$\|T_{\mathbf{k}}(f)\|_p \leq C \mathbf{k}_{(2)}^{-\kappa} 2^{-r|\mathbf{k}|} \|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^d)}$$

with some constant C independent of f and \mathbf{k} .

Proof. We prove the lemma by induction on d . For $d = 1$ it follows from Theorems 2.7. Assume the lemma is true for $d - 1$. Set $\mathbf{x}' := \{x_j : j \in \mathbb{N}_{d-1}\}$ and $\mathbf{x} = (\mathbf{x}', x_d)$ for $\mathbf{x} \in \mathbb{R}^d$. We temporarily denote by $\|f\|_{p, \mathbf{x}'}$ and $\|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^{d-1}), \mathbf{x}'}$ or $\|f\|_{p, x_d}$ and $\|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}), x_d}$ the norms applied to the function f by considering f as a function of variable \mathbf{x}' or x_d with the other variable held fixed, respectively. For $\mathbf{k} = (\mathbf{k}', k_d) \in \mathbb{Z}_{-1}^d$, we get by Theorems 2.7 and the induction assumption

$$\begin{aligned} \|T_{\mathbf{k}}(f)\|_p &= \| \|T_{\mathbf{k}'} T_{k_d}(f)\|_{p, \mathbf{x}'} \|_{p, x_d} \ll \|2^{-r|\mathbf{k}'|} \mathbf{k}'_{(2)}^{-\kappa} \|T_{k_d}(f)\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^{d-1}), \mathbf{x}'} \|_{p, x_d} \\ &= 2^{-r|\mathbf{k}'|} \mathbf{k}'_{(2)}^{-\kappa} \| \|T_{k_d}(f)\|_{p, x_d} \|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^{d-1}), \mathbf{x}'} \\ &\ll 2^{-r|\mathbf{k}'|} \mathbf{k}'_{(2)}^{-\kappa} \|2^{-rk_d} (k_d + 2)^{-\kappa} \|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}), x_d} \|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^{d-1}), \mathbf{x}'} \\ &= 2^{-r|\mathbf{k}|} \prod_{j=1}^d (k_j + 2)^{-\kappa} \|f\|_{\mathcal{H}_{\lambda, p}(\mathbb{T}^d)}. \end{aligned}$$

□

Let the univariate operator q_k be defined for $k \in \mathbb{Z}_+$, by

$$q_k := Q_{2^k, \lambda} - Q_{2^{k-1}, \lambda}, \quad k > 0, \quad q_0 := Q_{1, \lambda},$$

and in the manner of the definition of (3.19), the multivariate operator $q_{\mathbf{k}}$ for $\mathbf{k} \in \mathbb{Z}_+^d$, by

$$q_{\mathbf{k}} := \prod_{j=1}^d q_{k_j}.$$

For $\mathbf{k} \in \mathbb{Z}_+^d$, we write $\mathbf{k} \rightarrow \infty$ if $k_j \rightarrow \infty$ for each $j \in \mathbb{N}_d$.

Theorem 3.2 *Let $1 \leq p \leq \infty$, $1 < r < \infty$, $0 \leq \kappa < \infty$ and the function λ be a mask of type (r, κ) . Then every $f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d)$ can be represented as the series*

$$f = \sum_{\mathbf{k} \in \mathbb{Z}_+^d} q_{\mathbf{k}}(f) \quad (3.20)$$

converging in L^p -norm, and we have for $\mathbf{k} \in \mathbb{Z}_+^d$,

$$\|q_{\mathbf{k}}(f)\|_p \leq C 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)} \quad (3.21)$$

with some constant C independent of f and \mathbf{k} .

Proof. Let $f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d)$. In a way similar to the proof of Lemma 3.1, we can show that

$$\|f - Q_{2^{\mathbf{k}}}(f)\|_p \ll \max_{j \in \mathbb{N}_d} 2^{-rk_j} k_j^{\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)},$$

and therefore,

$$\|f - Q_{2^{\mathbf{k}}}(f)\|_p \rightarrow 0, \quad \mathbf{k} \rightarrow \infty,$$

where $2^{\mathbf{k}} = (2^{k_j} : j \in \mathbb{N}_d)$. On the other hand,

$$Q_{2^{\mathbf{k}}} = \sum_{s_j \leq k_j, j \in \mathbb{N}_d} q_{\mathbf{s}}(f).$$

This proves (3.20). To prove (3.21) we notice that from the definition it follows that

$$q_{\mathbf{k}} = \sum_{e \subset \mathbb{N}_d} (-1)^{|e|} T_{\mathbf{k}^e},$$

where \mathbf{k}^e is defined by $k_j^e = k_j$ if $j \in e$, and $k_j^e = k_j - 1$ if $j \notin e$. Hence, by Lemma 3.1

$$\|q_{\mathbf{k}}(f)\|_p \leq \sum_{e \subset \mathbb{N}_d} \|T_{\mathbf{k}^e}(f)\|_p \ll \sum_{e \subset \mathbb{N}_d} 2^{-r|\mathbf{k}^e|} (\mathbf{k}_{(2)}^e)^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)} \ll 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)}.$$

□

For approximation of $f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d)$, we introduce the linear operator $P_m, m \in \mathbb{N}$, by

$$P_m(f) := \sum_{|\mathbf{k}| \leq m} q_{\mathbf{k}}(f). \quad (3.22)$$

We give an upper bound for the error of the approximation of functions $f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d)$ by the operator P_m in the following theorem.

Theorem 3.3 *Let $1 \leq p \leq \infty$, $1 < r < \infty$, $0 \leq \kappa < \infty$ and the function λ be a mask of type (r, κ) . Then, we have for every $m \in \mathbb{N}$ and $f \in \mathcal{H}_{\lambda,p}(\mathbb{T}^d)$,*

$$\|f - P_m(f)\|_p \leq C 2^{-rm} m^{d-1-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)}$$

with some constant C independent of f and m .

Proof. From Theorem 3.2 we deduce that

$$\begin{aligned}
\|f - P_m(f)\|_p &= \left\| \sum_{|\mathbf{k}|>m} q_{\mathbf{k}}(f) \right\|_p \leq \sum_{|\mathbf{k}|>m} \|q_{\mathbf{k}}(f)\|_p \\
&\ll \sum_{|\mathbf{k}|>m} 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)} \ll \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)} \sum_{|\mathbf{k}|>m} 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \\
&\ll 2^{-rm} m^{d-1-\kappa} \|f\|_{\mathcal{H}_{\lambda,p}(\mathbb{T}^d)}.
\end{aligned}$$

□

3.2 Convergence rate

We choose a positive integer $m \in \mathbb{N}$, a lattice vector $\mathbf{k} \in \mathbb{Z}_+^d$ with $|\mathbf{k}| \leq m$ and another lattice vector $\mathbf{s} = (s_j : j \in \mathbb{N}_d) \in \prod_{j \in \mathbb{N}_d} Z[2^{k_j+1} + 1]$ to define the vector $\mathbf{y}_{\mathbf{k},\mathbf{s}} = \left(\frac{2\pi s_j}{2^{k_j+1}+1} : j \in \mathbb{N}_d \right)$. The Smolyak grid on \mathbb{T}^d consists of all such vectors and is given as

$$G^d(m) := \left\{ \mathbf{y}_{\mathbf{k},\mathbf{s}} : |\mathbf{k}| \leq m, \mathbf{s} \in \otimes_{j \in \mathbb{N}_d} Z[2^{k_j+1} + 1] \right\}.$$

A simple computation confirms, for $m \rightarrow \infty$ that

$$|G^d(m)| = \sum_{|\mathbf{k}| \leq m} \prod_{j \in \mathbb{N}_d} (2^{k_j+1} + 1) \asymp 2^d m^{d-1},$$

so, $G^d(m)$ is a sparse subset of a full grid of cardinality 2^{dm} . Moreover, by the definition of the linear operator P_m given in equation (3.22) we see that the range of P_m is contained in the subspace

$$\text{span}\{\varphi_{\lambda,d}(\cdot - \mathbf{y}) : \mathbf{y} \in G^d(m)\}.$$

Other words, P_m defines a multivariate method of approximation by translates of the function $\varphi_{\lambda,d}$ on the sparse Smolyak grid $G^d(m)$. An upper bound for the error of this approximation of functions from $\mathcal{H}_{\lambda,p}(\mathbb{T}^d)$ is given in Theorem 3.3.

Now, we are ready to prove the next theorem, thereby establishing an upper bound of $M_n(U_{\lambda,p}, \varphi_{\lambda,d})_p$.

Theorem 3.4 *If $1 \leq p \leq \infty$, $1 < r < \infty$, $0 \leq \kappa < \infty$ and the function λ be a mask of type (r, κ) , then*

$$M_n(U_{\lambda,p}(\mathbb{T}^d), \varphi_{\lambda,d})_p \ll n^{-r} (\log n)^{r(d-1)-\kappa}.$$

Proof. If $n \in \mathbb{N}$ and m is the largest positive integer such that $|G^d(m)| \leq n$, then $n \asymp 2^d m^{d-1}$ and by Theorem 3.3 we have that

$$M_n(U_{\lambda,p}(\mathbb{T}^d), \varphi_{\lambda,d})_p \leq \sup_{f \in U_{\lambda,p}(\mathbb{T}^d)} \|f - P_m(f)\|_p \ll 2^{-rm} m^{d-1-d\kappa} \asymp n^{-r} (\log n)^{r(d-1)-\kappa}.$$

□

For $p = 2$, we are able to establish a lower bound for $M_n(U_{\lambda,2}(\mathbb{T}^d), \varphi_{\lambda,d})_2$. We prepare some auxiliary results. Let $\mathbb{P}_q(\mathbb{R}^l)$ be the set of algebraic polynomials on \mathbb{R}^l of total degree at most q , and

$$\mathbb{E}^m := \{\mathbf{t} = (t_j : j \in \mathbb{N}_m) : |t_j| = 1, j \in \mathbb{N}_m\}.$$

We define the polynomial manifold

$$\mathbb{M}_{m,l,q} := \left\{ (p_j(\mathbf{u}) : j \in \mathbb{N}_m) : p_j \in \mathbb{P}_q(\mathbb{R}^l), j \in \mathbb{N}_m, \mathbf{u} \in \mathbb{R}^l \right\}.$$

Denote by $\|\mathbf{x}\|_2$ the Euclidean norm of a vector \mathbf{x} in \mathbb{R}^m . The following lemma was proven in [5].

Lemma 3.5 *Let $m, l, q \in \mathbb{N}$ satisfy the inequality $l \log\left(\frac{4emq}{l}\right) \leq \frac{m}{4}$. Then there is a vector $\mathbf{t} \in \mathbb{E}^m$ and a positive constant c such that*

$$\inf \{\|\mathbf{t} - \mathbf{x}\|_2 : \mathbf{x} \in \mathbb{M}_{m,l,q}\} \geq cm^{1/2}.$$

Theorem 3.6 *If $1 < r < \infty, 0 \leq \kappa < \infty$ and the function λ be a mask of type (r, κ) , then we have that*

$$n^{-r}(\log n)^{r(d-2)-d\kappa} \ll M_n(U_{\lambda,2})_2 \ll n^{-r}(\log n)^{r(d-1)-\kappa}. \quad (3.23)$$

Proof. The upper bound of (3.23) is in Theorem 3.4. Let us prove the lower bound by developing a technique used in the proofs of [5, Theorem 1.1] and [1, Theorem 4.4]. For a positive number a we define a subset $\mathbb{H}(a)$ of lattice vectors by

$$\mathbb{H}(a) := \left\{ \mathbf{k} = (k_j : j \in \mathbb{N}_d) \in \mathbb{Z}^d : \prod_{j \in \mathbb{N}_d} |k_j| \leq a \right\}.$$

Notice that $|\mathbb{H}(a)| \asymp a(\log a)^{d-1}$ when $a \rightarrow \infty$. To apply Lemma 3.5, for any $n \in \mathbb{N}$, we take $q = \lfloor n(\log n)^{-d+2} \rfloor + 1$, $m = 5(2d+1)\lfloor n \log n \rfloor$ and $l = (2d+1)n$. With these choices we obtain

$$|\mathbb{H}(q)| \asymp m \quad (3.24)$$

and

$$q \asymp m(\log m)^{-d+1} \quad (3.25)$$

as $n \rightarrow \infty$. Moreover, we have that

$$\lim_{n \rightarrow \infty} \frac{l}{m} \log \left(\frac{4emq}{l} \right) = \frac{1}{5},$$

and therefore, the assumption of Lemma 3.5 is satisfied for $n \rightarrow \infty$.

Now, let us specify the polynomial manifold $\mathbb{M}_{m,l,q}$. To this end, we put $\zeta := q^{-r}m^{-1/2}(\log q)^{-d\kappa}$ and let \mathbb{Y} be the set of trigonometric polynomials on \mathbb{T}^d , defined by

$$\mathbb{Y} := \left\{ f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}} : \mathbf{t} = (t_{\mathbf{k}} : \mathbf{k} \in \mathbb{H}(q)) \in \mathbb{E}^{|\mathbb{H}(q)|} \right\}.$$

If $f \in \mathbb{Y}$ and

$$f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}},$$

then $f = \varphi_{\lambda,d} * g$ for some trigonometric polynomial g such that

$$\|g\|_{L^2(\mathbb{T}^d)}^2 \leq \zeta^2 \sum_{\mathbf{k} \in \mathbb{H}(q)} |\lambda(\mathbf{k})|^{-2}.$$

Since

$$\begin{aligned} \zeta^2 \sum_{\mathbf{k} \in \mathbb{H}(q)} |\lambda(\mathbf{k})|^{-2} &\leq \zeta^2 q^{2r} \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| \log \prod_{j=1}^d k_j \right|^{2\kappa} \\ &\leq \zeta^2 q^{2r} \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| \sum_{j=1}^n \log k_j \right|^{2d\kappa} \leq \zeta^2 q^{2r} (\log q)^{2d\kappa} |\mathbb{H}(q)| = m^{-1} |\mathbb{H}(q)|, \end{aligned}$$

by (3.24) that there is a positive constant c such that $\|g\|_{L^2(\mathbb{T}^d)} \leq c$ for all $n \in \mathbb{N}$. Therefore, we can either adjust functions in \mathbb{Y} by dividing them by c , or we can assume without loss of generality that $c = 1$, and obtain $\mathbb{Y} \subseteq U_{\lambda,2}(\mathbb{T}^d)$.

We are now ready to prove the lower bound for $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$. We choose any $\varphi \in L^2(\mathbb{T}^d)$ and let v be any function formed as a linear combination of n translates of the function φ :

$$v = \sum_{j \in \mathbb{N}_n} c_j \varphi(\cdot - \mathbf{y}_j).$$

By the well-known Bessel inequality we have for a function

$$f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}} \in \mathbb{Y},$$

that

$$\|f - v\|_{L^2(\mathbb{T}^d)}^2 \geq \zeta^2 \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| t_{\mathbf{k}} - \frac{\widehat{\varphi}(\mathbf{k})}{\zeta} \sum_{j \in \mathbb{N}_n} c_j e^{i(\mathbf{y}_j, \mathbf{k})} \right|^2. \quad (3.26)$$

We introduce a polynomial manifold so that we can use Lemma 3.5 to get a lower bound for the expressions on the left hand side of inequality (3.26). To this end, we define the vector $\mathbf{c} = (c_j : j \in \mathbb{N}_n) \in \mathbb{R}^n$ and for each $j \in \mathbb{N}_n$, let $\mathbf{z}_j = (z_{j,l} : l \in \mathbb{N}_d)$ be a vector in \mathbb{C}^d and then concatenate these vectors to form the vector $\mathbf{z} = (\mathbf{z}_j : j \in \mathbb{N}_n) \in \mathbb{C}^{nd}$. We employ the standard multivariate notation

$$\mathbf{z}_j^{\mathbf{k}} = \prod_{l \in \mathbb{N}_d} z_{j,l}^{k_l}$$

and require vectors $\mathbf{w} = (\mathbf{c}, \mathbf{z}) \in \mathbb{R}^n \times \mathbb{C}^{nd}$ and $\mathbf{u} = (\mathbf{c}, \operatorname{Re} \mathbf{z}, \operatorname{Im} \mathbf{z}) \in \mathbb{R}^l$ to be written in concatenate form. Now, we introduce for each $\mathbf{k} \in \mathbb{H}(q)$ the polynomial $\mathbf{q}_{\mathbf{k}}$ defined at \mathbf{w} as

$$\mathbf{q}_{\mathbf{k}}(\mathbf{w}) := \frac{\widehat{\varphi}(\mathbf{k})}{\zeta} \sum_{j \in \mathbb{N}_n} c_j \mathbf{z}_j^{\mathbf{k}}.$$

We only need to consider the real part of $\mathbf{q}_{\mathbf{k}}$, namely, $\mathbf{p}_{\mathbf{k}} = \operatorname{Re} \mathbf{q}_{\mathbf{k}}$ since we have that

$$\inf \left\{ \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| t_{\mathbf{k}} - \frac{\widehat{\varphi}(\mathbf{k})}{\zeta} \sum_{j \in \mathbb{N}_n} c_j e^{i(\mathbf{y}_j, \mathbf{k})} \right|^2 : c_j \in \mathbb{R}, \mathbf{y}_j \in \mathbb{T}^d \right\} \geq \inf \left\{ \sum_{\mathbf{k} \in \mathbb{H}(q)} |t_{\mathbf{k}} - \mathbf{p}_{\mathbf{k}}(\mathbf{u})|^2 : \mathbf{u} \in \mathbb{R}^l \right\}.$$

Therefore, by Lemma 3.5 and (3.25) we conclude there is a vector $\mathbf{t}^0 = (t_{\mathbf{k}}^0 : \mathbf{k} \in \mathbb{H}(q)) \in \mathbb{E}^{h_q}$ and the corresponding function

$$f^0 = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} t_{\mathbf{k}}^0 \chi_{\mathbf{k}} \in \mathbb{Y}$$

for which there is a positive constant c such that for every v of the form

$$v = \sum_{j \in \mathbb{N}_n} c_j \varphi(\cdot - \mathbf{y}_j),$$

we have that

$$\|f^0 - v\|_{L^2(\mathbb{T}^d)} \geq c\zeta m^{\frac{1}{2}} = q^{-r}(\log q)^{-d\kappa} \asymp n^{-r}(\log n)^{r(d-2)-d\kappa}$$

which proves the lower bound of (3.23). \square

Similar to the proof of the above theorem, we can prove the following theorem for the case $-\infty < \kappa < 0$.

Theorem 3.7 *If $1 < r < \infty$, $-\infty < \kappa < 0$ and the function λ be a mask of type (r, κ) , then we have that*

$$n^{-r}(\log n)^{r(d-2)-\kappa} \ll M_n(U_{\lambda,2}(\mathbb{T}^d))_2 \ll n^{-r}(\log n)^{r(d-1)-d\kappa}.$$

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